# BEST AVAILABLE COPY



Attorney Docket No. 56843 (71987)

2009 JUL - 1 PM 12:

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

C. Ke et al.

SERIAL NO.:

10/039,219

**GROUP:** 

2814

FILING DATE:

January 2, 2002

EXAMINER: D. Nguyen

FOR:

FLASH-PREVENTING SEMICONDUCTOR PACKAGE

Commissioner for Patents

P.O. Box 1450

Arlington, VA 22313-1450

Attn: Refund Section, Accounting Division, Office of Finance

### REQUEST FOR REFUND

(Improper charge of Deposit Account)

#### REFUND REQUEST I.

This is a request for a refund with respect to the charge to Deposit Account No. 04-1105 shown on the statement dated 30 April 2004, for the above identified

application.

patent. []

(check the following, if desired, and supply copy of statement)

A copy of the monthly statement in which the error referred to occurs, accompanies this [X] request.

#### CERTIFICATE OF MAILING

I, the undersigned, do hereby certify that I have on the date set below, deposited this document and all related documents with the United States Postal Service, Postage Prepaid, First Class, to the Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: June 22, 2004

(Request for Refund of Improper Charge of Deposit Account [19-4]-page 1 of 3

#### PATENT MAINTENANGE DIVISION

# II. FEES CHARGED FOR WHICH REFUND REQUESTED

filing fee [] surcharge for filing the basic filing fee on a date later than the [] filing date of the application (37 CFR 1.16(e)) surcharge for filing the oath or declaration on a date later than the [] filing date of the application (37 CFR 1.16(e)) extension of term [X] \$110.00 first month [X] second month [] third month [] fourth month [] excess claims issue fee [ ] petition fee [] patent maintenance fee [] first maintenance fee [] second maintenance fee [] third maintenance fee [] patent maintenance fee surcharge other [] priority document fee [] designation fee per country (PCT) []

TOTAL REFUND REQUESTED \$110.00

AMOUNT OF REFUND

REQUESTED

# III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

2014 JUL - 1 PH 12: 46

Applicants filed an Amendment on April 5, 2004 (by facsimile) along with authorization to charge our deposit account in the amount of \$110.00, which was debited on April 16, 2004 by the U.S. Patent Office.

On April 28, 2004, Deposit Account No. 04-1105 was charged another \$110.00 for a 1-Month Extension of Time (copy of monthly statement enclosed).

Applicants believe that the amount charged to deposit account no. 04-1105 in the amount of \$110.00 is incorrect and a refund is requested.

# IV. MANNER OF REFUND

Please make refund by

[X] crediting Account No. 04-1105 refunding payment.

Date: June 22, 2004

Reg. No. 42,693

Tel. No. (617) 439-4444

Customer No. 21874

BOS2\_448801.1

SIGNATURE OF PRACTITIONER

Steven M. Jensen
(type or print name of practitioner)
Attorney for Applicant

Edwards & Angell, LLP
P. O. Box 55874
P.O. Address

Boston, MA 02205



#### PATERI MAMIERANICE DIVISION

## MONTHLY STATEMENT OF DEPOSIT ACCOUNT

Under Secretary of Commerce for Intellectual Property and 2014 JUL - 1 PH 12: 46 Director of the United States Patent and Trademark Office www.uspto.gov

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

EDWARDS & ANGEL/DIKE BRONSTEIN ROBERTS & FINA JAMES KELLCHER P.O.BOX 9169

02209 BOSTON MA

Accou	nt No. 041105
Date	4-30-04
Page	1

PLEASE SEND REMITTANCES TO: U. S. Patent and Trademark Office P.O. Box 70541 Chicago, IL 60673

			DOCKET NO.		FEE CODE	CHARGES/ CREDITS	BALANCE
TE POSTED	CONTROL	DESCRIPTION (Serial, Patent, TM, Order)			L	700.00	2055.00
O. DAY YR.	NO.	10001 /02800	58529 PCT	(71699)	1602	-3220.00	5275.00
4 1 1 04	4	PCT/USU4/USU3/		}	9204	100.00	5175.00
4 2 04	2	5151124		51884-P	8007	120.00	5055.00
4 2 04	139	60447411		51884-P	8023		16913.00
4 2 04	140	60447411	1	-	9203	-11858.00	16793.00
4 5 04	17	PAYMENT	E2122	P (70329)	8007	120.00	16693.00
7   7   7	48		52122	(70329)	8007	100.00	16653.00
ויורוי	52		52047	(70329)	8007	40.00	16553.00
71 71	51		52074-		8007	100.00	
4 5 04	56		52036-		8007	40.00	16513.00
4 5 04	60		51970-P		8007	100.00	16413.00
4 5 04			51848-	P (70329)	8007	100.00	16313.00
4 5 04	6:		51899-	P (70329)	8007	120.00	16193.00
4 5 04	6		51896-P	2 (70329)	8007	100.00	16093.00
4 5 04	6		51785-	P (70329)	1	80.00	16013.00
4 5 04	7		51260	-P (70329)	8007	100.00	15913.00
4 5 04	.\ 8		52021-	·P (70329)	8007	100.00	15813.00
4 5 04		3	52092·	P (70329)	8007	80.00	15733.00
4 5 04		34	51867		8007		15633.00
4 5 04	1 6	37 \	52084	-P (70329)	8007	100.00	15533.00
4 5 04	`\	38	52036-	P2 (70329)		100.00	15433.00
4 5 0	: I (	39	320,0	51994-P	2 8007	100.00	15313.00
4 5 0		90	51896		8007	120.00	15233.00
1 71 212	· 1	91	52174			80.00	
		93	521/4			100.00	15133.00
1 71 71		96	52095		' 1 I I I	100.00	15033.00
4 50	- L	99	51984-		"   I	20.00	15013.00
4 50	`I _	03	51983		'' l a l	60.00	14953.00
1 71 71	• •		51982	P2 (70329	" !	100.00	14853.00
1 1 7 1	''1 -	20	51975	P2 (70329	" 1	100.00	14753.0
1 71 717	· 1	22	51976	P2 (70329	" 1 1 1	100.00	14653.0
1 71 71		239	5184	7-P (7032)	·	100.00	14553.0
4 5 0		259	5183	3-P (70329		100.00	
		261		5208		40.00	1
		325		5213		1	1
		327		5208		1 100 00	1
		328	1	5189	8P  8007	1 20 00	
	04	330	1	5214	6P 8007	20.00	'\
1 71 71		334	1	-	l		D 00010 DAL AND
1717	* 1	1		ICE TOTAL	CHARGES	TOTAL CREDITS	CLOSING BALANG
<del> </del>	N AMOL	INT SUFFICIENT TO	O OPENING BALA	101 TE	_,,	1	1
1		CCDVII'EN RELUCES	1	1			
1 601		AYS BE ON DEPO	511			*** O.D. INDICA	TES OVERDRAY
MI	JS   ALV	/\					



#### PATENT MAINICHAIN-DIVISION

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

2019 JUL -1 PH 12: 46

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

EDWARDS & ANGEL/DIKE BRONSTEIN ROBERTS & FINA JAMES KELLCHER P.O.BOX 9169

02209 BOSTON MA

Date 4-30-04 Page 2	Accour	nt No. 041105
Page 2	Date	4-30-04
	Page	2

PLEASE SEND REMITTANCES TO: U. S. Patent and Trademark Office P.O. Box 70541 60673 Chicago, IL

			······		DOCKET NO.	1	FEE	CHARGES/ CREDITS	BALANCE
TE POS		CONTROL NO.	(Serial	ESCRIPTION Patent, TM, Order)			8007	100.00	14153.00
D. DAY	YR.		1		5			80.00	14073.00
	04	336		į	5	1818P	8007	700.00	13373.00
4 5	04	340			58478 PCT (L	8240)	1602	-11.00	13384.00
4 6	04	7	Y PCT	/uso4/02214	-	1	9204	175.00	12909.00
4 6	04	10		/US04/02214	56937	(41925	2253	475.00	13819.00
4 6	04	68	3	10081009	<i>J-33.</i>		9204	-910.00	13609.00
4 6		7	4 [	6123924		60144	8507	210.00	12839.00
41 6	1	119	9		000242		1801	770.00	10829.00
4 6		16		09781986	000242	00014	1255	2010.00	
7,1	1 .	16		09781986	46453.	E61214	1253	950.00	9879.00
4 6	. 1		3	10282963	40453.	5612141	1814	110.00	9769.00
4 7			4	10282963	46453.	561317	1253	950.00	8819.00
	04			10218304	46453-	56314-1	1814	110.00	8709.00
4 7	7   04	1	5	10218304	46453-	56314-		950.00	7759.00
4 7	7 04			10216036	46453.	56314-	1253	-130.00	7889.00
4 7	7 04	1	7	10661051		51473	1051	110.00	7779.00
4	7 04	<b>; }</b>	8	10001031	46453	56314-	1814	950.00	6829.00
4	7 01	• ]	8	10216036	46453	3.56314	1253	950.00	6719.00
	7 04	•1	9	10215931	4645	3.56314	1814	110.00	5769.00
	7 01	•	10	10215931	56314-024	(46453)	1253	950.00	5659.00
4	اواخ	1	11	10282731	56314-024	(46453)	1814	110.00	4709.00
	7 0	- 1	12	10282731	46153	.56314-	1253	950.00	4599.00
4	7 0	'1	13	10282703	1.61.52	.56314-	1814	110.00	
1 . L	710	1	14	10282703	40427	• 50517	9204	-385.00	4984.00
4	7 0	וי	50	10739452	-1/0	9.61095		129.00	4855.00
4	' 1	`I .	42	10818037	/109	9.01033 2 F621h	1	950.00	3905.00
4	70	. 1	<b>71</b>	10222021	4645	3.56314	1 - 1	110.00	3795.00
4	8 0			10282781	46453	.56314-	1	950.00	2845.00
4	8 0	· •	1	10282781	46453	.56314-	1 1	110.00	2735.00
4		14	2	10222021	4645	3.56314	'1 ' 1	950.00	1785.00
4	8 0		2	10216035	46453	3.56314	- 1253	950.00	835.00
1 4	8 0		3	10218835	4645	3.56314 <sup>.</sup>	1253	110.00	725.00
4	8 0	04	4		4645	3.56314 <sup>.</sup>	-  1814	90.00	635.00
4	8	04	9	10282704		5872	3  1202		315.00
14	8	04	10	10630633	4645	3.56314	- 1253	950.00	605.00
		04	10	10282704	,	5872	3  1203	290.00	14613.00
4		04	11	10630633			9203	-15218.00	14503.00
171	- 1	04	33	PAYMENT	56314-02	2 (46453	) 1814	110.00	14505.00
14	12		il	10282972	20217-02	_ 、			<u> </u>
"			}			TOTAL C	HARGES	TOTAL CREDITS	CLOSING BALANCE
	ᆜ	NI AMOI	INT S	UFFICIENT TO	OPENING BALANCE	IUIAL C		· ·	
1		All	CEBNI	CES RECIDED FOR			j		
		ICT ALW	AYS I	BE ON DEPOSIT				*** O.D. INDICAT	ES OVERDRAW
				· ·	. 2 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	قائمىدى دەندارىيى قالىدىن	direction.	ok .	
M50	20A (	2/2003)		of contract the					

#### THEN PHANTERMINE DIVISION

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

Under Secretary of Commerce for Intellectual Property and 2014 JUL - 1 PM 12: 46 Director of the United States Patent and Trademark Office www.uspto.gov

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

EDWARDS & ANGEL/DIKE BRONSTEIN ROBERTS & FINA JAMES KELLCHER P.O.BOX 9169

02209 BOSTON MA

Accour	nt No. 041105
Date	4-30-04
Page	3

PLEASE SEND REMITTANCES TO: U. S. Patent and Trademark Office P.O. Box 70541 60673 Chicago, IL

		- CONTRACTOR	DOCKET NO.		FEE	CHARGES/ CREDITS	BALANCE
TE POSTED	CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)		1	1253	950.00	13553.00
DAY YR.		10282972	56314-U22 (I	104537		950.00	12603.00
12 04	2	10282699	56314-U22 (1	16453)	1253 1814	110.00	12493.00
12 04	2	10282699	56314-U22 (A	46453)		180.00	12313.00
4 12 04	3	10202099	46453.	56314-1	1806	950.00	11363.00
4 12 04	4	10215902	46453.	56314-	1253	-374.00	11737.00
4 12 04	5			1	9204	80.00	11657.00
4 12 04	5			1	2005	25.00	11632.00
4 12 04	17				2052	420.00	11212.00
4 12 04	18	1	57127	(46342	1252	40.00	11172.00
4 12 04	242		60546-P (	46910)	8021		11132.00
4 12 04	296	60543144		60545P	8021	40.00	11096.00
4 12 04	401	.  6054016/	60428		1615	36.00	10916.00
4 13 04	[	10481044	747E3	56314-	1806	180.00	10736.00
4 13 04	<b>.</b>	10282703	16453	561314	1806	180.00	10556.00
	1	10282963	161.53	56314-	1806	180.00	10556.00
	1	1021590	1,61,52	.56314-	1253	950.00	9606.00
71171		3 1021595	40455	.56314-	1814	110.00	9496.00
71.71.	I.	1021595	40453	-50517 -621h-	1806	180.00	9316.00
4 13 04			46453	.56314-	1253	950.00	8366.0
4 13 04	1	1028269	56314-U22	(40473)	1814	110.00	8256.0
4 13 04	) <u> </u>	5 1021595 5 1028269 6 1028269	.1 56314-022	(4045)	1806	180.00	8076.0
4 13 04	' 1	6 1021603	40453	-56314-	1253	950.00	7126.0
4 13 04		7 1021590	1 46453	.56314-	1 - 1	110.00	7016.0
4 13 04	· 1	8 1028296	1 40453	.561314		950.00	6066.0
4 13 0			1 40453	.561314		180.00	5886.0
4 13 0	1	7		3.56314		-81.00	5967.0
4 13 0	4 (	71	ı. İ		9204	700.00	5267.0
4 13 0	4[		/ 1 (60541) 0	1039 PCT	1602	700.00	1 1-1-1
4 13 0	4 1:	-     / / /	(50531) 6	1038 PC	r 1602	950.00	1
4 13 0	4 1	63 PCT/US04/1060	4645	3.56314 <sup>.</sup>	-  1253		
4 14 0		1 1021590	4645	3.56314 <sup>.</sup>	-  1814	110.00	1
4 14 0	4	1 102190	2) 1.41.2	3.56314	-  1253	950.00	
1 '1''	4	2 102190	7) -(-1-11)	5 (46453	)   1253	950.00	1
1 1 1 1 1	141	3 102825	7	2 (46453	)   1814	-110.00	1 -21-
1 -1 1 -	14	5 102826	7 ( 0.1 1 112	2 (46453	1253	-950.00	1 -/
1 71, 11,	54		1.41.5	3.56314	· •	-950.00	· · · · · · · · · · · · · · · · · · ·
1 .1	04	8] 102159	/ <del>-</del>	9) 5989		700.00	2917.
4 14 6		9 PCT/US04/056	30				
1 1 1	1			TOTAL C	HARGES	TOTAL CREDITS	CLOSING BALAN
<del> </del>	N AMOU	NT SUFFICIENT TO	OPENING BALANCE	IOIAL C	,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
1	411 6	SEDVICES RECIDED	ED		1		1
MI	IST ALW	AYS BE ON DEPOS	<u> </u>	<u> </u>		*** O.D. INDICA	ITES OVERDRA
MS020A (2				. I taki di majar			The state of the s



#### PAIEN MAINTENATION DIVISION

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

2014 JUL - 1 PM 12: 46

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

EDWARDS & ANGEL/DIKE BRONSTEIN ROBERTS & FINA JAMES KELLCHER P.O.BOX 9169

02209 BOSTON MA

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov

Accour	nt No. 041105
Date	4-30-04
Page	4

PLEASE SEND REMITTANCES TO: U. S. Patent and Trademark Office P.O. Box 70541

Chicago, IL 60673

				•	Chicago,		
			DOCKET NO.		FEE CODE	CHARGES! CREDITS	BALANCE
TE POSTED	CONTROL	DESCRIPTION (Serial, Patent, TM, Order)		1.	1253	950.00	1967.00
O. DAY YR.		09443863	12	1-184	2201	43.00	1924.00
4 14 04	56	10138672	45710-	55886		110.00	1814.00
4 14 04	139			568431	1251	700.00	1114.00
4 16 04	1	10039219	60789 PCT (5	0660)	1602	-12689.00	13803.00
4 16 04	2	PCT/US04/03532		ì	9203	253.00	13550.00
4 19 04	25	PAYMENT	57898-PCT (4	7992)	1703	80.00	13470.00
4 20 04	2	PCT/US04/04130	3/030	1	2005	25.00	13445.00
4 20 04	4	60560712		1	2052		13510.00
4 20 04	5	60560712			9204	-65.00	12810.00
7 [ - 4 ] - 1	14	10730526	60613PCT (	50553) l	1602	700.00	12738.00
711-	2	PCT/US04/03635	60583 (	50530)	1203	72.00	12698.00
	80		60503 (	,-,,-,	8007	40.00	12588.00
4 21 04	182		A0022	00-832	1814	110.00	12478.00
4 22 04		09970792	¢0033	00-832	1814	110.00	124/0.00
4 23 04	1		Ç0033	1.76861	1603	-300.00	12778.00
4 23 04		1000/2	58780 (	4/600/	1602	1000.00	11778.00
4 27 04	2	71	58780 (	4/000/	1703	22.00	11756.00
4 27 04	3	- 1	58780	(4/000)	8014	25.00	11731.00
4 27 04	.\ 3			48045	9204	-40.00	11771.00
4 27 04	7			1	8514	25.00	11746.00
4 27 04		/	4645	3/57924		110.00	11636.00
4 27 04	,			56843	1251	-730.00	12366.00
4 28 04	• \	'			9204	-172.00	12538.00
4 28 0		101			9204	770.00	11768.00
4 28 0		10478399	1		1610	40.00	11728.00
4 28 0	4	10478399	451	0759279	8007	40.00	11688.00
4 28 0		10415255	451	0759279	3 8023	1964.00	9724.00
4 28 0	· (	00 1 10415255	58173	(47992)	1202	1964.00	9434.0
4 28 0	• [	71 10831380	58173	(47992	1205	290.00	9380.0
4 28 0	1	73 10831300	52060	(70329	)   1202	54.00	9270.0
	4	1 10690835	1	4942	9  1251	110.00	1 0 0
	4	1 09424347	46453-567	791/004	1 1253	950.00	1 00.00
		10076999	1/1/20-569	791/004		110.00	1
4 29 0		10076999	40423-20	, , , , , ,	1	ļ.	1
4 29 0	77	•	1				
1 1 1	1		1		1	1	<b>\</b>
1 1 1	1	l			1		1
1					1	·	
1 1 1					CHARGES	TOTAL CREDITS	CLOSING BALAN
	بيجيباب	NT SUFFICIENT TO	OPENING BALANCE	TOTAL	CHARGES		
	UOMA N	NI SUFFICIENT			_	10102 00	8210.00
		SERVICES REQUESTE AYS BE ON DEPOSIT	2755.00	1. 3	738.00	48193.00	

Docket No. 56843 (71987)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
CENTRAL FAX CENTER

APPLICANT:

U.S. SERIAL NO.:

C. Kc et al.

10/039,219

GROUP:

2814

APR 0 5 2004

FILED:

January 2, 2002

**EXAMINER:** D. Nguyen

OFFICIAL

FOR:

FLASH-PREVENTING SEMICONDUCTOR PACKAGE

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to Group 2800 of the U.S. Patent & Trademark Office by facsimile number 703-872-9318 on April 5, 2004.

Steven M. Jensen

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### <u>AMENDMENT</u>

Applicants are in receipt of the Office Action dated December 5, 2003 of the abovereferenced application. A request for a one-month extension of time is submitted herewith. Please amend the application as follows:

Amendments to the claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 5 of this paper.

34/16/2034 JECHILLA 03233331 94:165 19339219

<del>.e: :0::2</del>51

\$13.00-08-

PAGE 8/12 \* RCVD AT 4/5/2004 6:43:19 PM [Eastern Daylight Time] \* SVR-USPTO-EFXRF-(1) \* DNBS:8/29318 \* CSD:617 439 4170 \* DURATION (mm-ss):03-22

Adjustment date: 08/03/2004 EEKUBAY1 04/28/2004 DSASFAI 00000001 04/105 10039219 01 FC:1251 110.00 CR

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

# BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
D BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
Потивр.

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.